

#23

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

RICHARD J. ARMITAGE et al

Appln. No. 09/322,021

Filed: May 28, 1999



Group Art Unit: 1644

Examiner: Unknown

For: MONOCLONAL ANTIBODIES TO CD40 LIGAND  
PHARMACEUTICAL COMPOSITION COMPRISING  
SAME AND HYBRIDOMAS PRODUCING THE SAME

SUBMISSION OF MISSING PARTS OF APPLICATION

ATTN: APPLICATION BRANCH

Assistant Commissioner

for Patents

Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application-Filing Date Granted", dated June 28, 1999, Applicants submit herewith the required Declaration properly executed by the inventors.

A check for the surcharge of \$ 130.00 is attached.

The Assistant Commissioner is hereby authorized to charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "J. Frank Osha".

J. Frank Osha

Registration No. 24,625  
for Gordon Kit

Registration No. 30,764

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC

2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3202

(202) 293-7060

Date: July 9, 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

*Sector*

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/322,021	05/28/99	ARMITAGE	R A-7517

0242/0628  
SUGHRUE MION ZINN MACPEAK & SEAS, P.L.C.  
22100 PENNSYLVANIA AVENUE N.W.  
WASHINGTON DC 20037-3213

NOT ASSIGNED

1644

DATE MAILED:

06/28/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

07/12/1999 CVORACHA 00000038 09322021

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130.00 DP